

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TERRY VICTOR CLAPP

Serial No.: Unknown

Filed: Concurrently Herewith

For: ELECTRO-OPTICALLY TUNABLE  
OPTICAL FILTER

Group Art Unit: Unknown

Examiner: Unknown

Attorney Docket: 2143.000200/KDG  
(SKG/JB/G21348US)

CUSTOMER NO. 23720

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL MAILING LABEL

NUMBER: EV 511168941 US  
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I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

  
Cynde Meinhart

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed foreign patent document and journal article required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. Because the filing date of the present application is after June 30, 2003, copies of the listed U.S. patents are not included.

10/15/2006

IAP15 Rec'd PCT/PTO 10 JAN 2006

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed within three months of the filing date of this patent application or prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2143.000200KG.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
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January 10, 2006

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Form PTO-1449 (modified)		Atty. Docket No. 2143.000200/KDG	Serial No. Unknown
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant Terry Victor Clapp	
		Filing Date: Concurrently Herewith	Group: Unknown
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
		6,285,810	09/04/2001	Fincato et al.			
		6,212,315	04/03/2001	Doerr			
		6,025,943	02/15/2000	Meekers et al.			

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
		1 065 534	01/03/2001	Europe			No

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
		Herben, "Integrated Optoelectronics in Indium Phosphide Technology," <i>Tijdschrift van het Nederlands Elektronica—en Radiogenootschap</i> , Vol. 66, pages 37-43 (2001)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CC/

<b>EXAMINER:</b> /Chris Chu/	<b>DATE CONSIDERED:</b> /Chris Chu/
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